

# Asgard Elements – Super/Pension

# Asgard Elements – Investment

## List of Available Investment Options

Issued 11 December 2023

Use this form to review existing available investments (subject to change without prior notice), amend portfolio options and change profiles.

Complete this form in **BLOCK LETTERS** by typing directly into the form or using **black pen**, print and sign it. Send the completed form to us via one of the following methods:

- > ask your adviser to lodge it on your behalf on AdviserNET
- > submit a copy via our secure Document Upload facility on Investor Online or AdviserNET (accessed from Forms > Document Upload menu)
- > post to Asgard, PO Box 7490, Cloisters Square WA 6850
- > email a copy to [asgardforms@asgard.com.au](mailto:asgardforms@asgard.com.au)

**i** If you submit this form online, you don't need to post us the original.

### Privacy Statement

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at [bt.com.au/privacy/privacy-statement](http://bt.com.au/privacy/privacy-statement) or by calling us on 132 135. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your application.

**Questions? Call our Customer Relations team on 1800 998 185 or email [client.support@asgard.com.au](mailto:client.support@asgard.com.au)**

### About this List of Available Investment Options

This List of Available Investment Options (List) has been prepared by the Trustee of Asgard Elements – Super/Pension BT Funds Management Limited ABN 63 002 916 458, AFSL 233724 (BTFM), and the Responsible Entity for Asgard Elements – Investment, Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716 (WFSL).

In this booklet Asgard Elements – Super/Pension and Asgard Elements – Investment are collectively referred to as 'Asgard Elements'.

The Administrator and Custodian of Asgard Elements is Asgard Capital Management Ltd ABN 92 009 279 592, AFSL 240695 (Asgard, Administrator). BTFM, WFSL and Asgard are subsidiaries of Westpac Banking Corporation ABN 33 007 457 141, AFSL 233714 (Westpac). Apart from any interest members may have in underlying bank accounts held at St. George Bank and/or Westpac through their cash balance, an investment in Asgard Elements is not a deposit or liability of Westpac or any other company within the Westpac Group. Westpac (including other companies in the Westpac Group) do not in any way stand behind or guarantee the capital value and/or the performance of the specific investments you select or the Asgard Elements account.

The information in this List is current as at 1 December 2023 (date of preparation), but is subject to change without prior notice. Not all investments are available to all investors.

### Before applying/investing

Before applying for Asgard Elements, it is important you read the Product Disclosure Statement (PDS) for the relevant Asgard Elements account.

You must receive a product disclosure statement for each managed investment that you decide to invest in through Asgard Elements. The product disclosure statement or other disclosure document for the relevant managed investments contains information about the applicable fees and costs. These do not include any fees and costs you pay to the Trustee, the Administrator, your financial adviser or their dealer group or otherwise from your Asgard Elements account. Refer to the Fixed Term Deposit (FTD) product disclosure statement and FAQ for information on the current interest rates, terms, conditions and restrictions that apply,

including what happens to your percentage profile when the investment is made. Note, your investment in the FTD cannot be withdrawn from in any circumstances before the term ends, including if you die. A maximum of 70% of the value of a pension account can be invested in FTDs.

These documents are available free of charge by contacting your financial adviser or by calling our Customer Relations team on 1800 998 185.

General advice warning

The information in this List is general information only and does not take into account your individual objectives, financial situation or needs. Before acting on this information, you should consider whether it is appropriate for you in light of your objectives, financial situation or needs.

To obtain advice or more information about Asgard Elements or the investments offered through Asgard Elements, you should speak to your financial adviser.

How to complete the form

**To choose your investment profile:** In section 3 'Investment profile selection', please indicate the percentage you would like to allocate to each managed investment. **Your investment profile must add up to 100%.** We will maintain up to 4% (or 6% if you have gearing Asgard Elements – Investment) of your funds in the cash balance, but you may nominate a higher percentage or dollar amount to be held in your cash balance. Do not include your cash balance when selecting your investment profile, as we automatically calculate it.

Funds deposited into your account are automatically credited to your cash balance and will be invested across your current investment profile when you have exceeded your cash balance requirement by \$1,000 (or any higher nominated amount), unless you have instructed us not to invest excess cash, in which case no cash will be invested until you give us new investment instructions. An instruction not to invest excess cash can be submitted to us by your financial adviser or using an Investment options form if you do not have a financial adviser.

Example (uses default cash balance amount)

Ben has selected three managed investments to make up his profile. This table illustrates how his \$50,000 deposit will be invested: \$50,000 minus 4% cash balance (\$2,000) leaves \$48,000 to invest.

Managed investment	Investment profile	Amount
A	25%	\$12,000
B	25%	\$12,000
C	50%	\$24,000
TOTAL	100%	\$48,000

Please note, if you instruct us not to invest excess cash, auto-rebalancing and profile modelling instructions will still result in your cash balance being returned to the required amount.

**To choose (or change) your Priority Sell Method:** Use section 3 'Investment profile selection' to specify the order in which managed investments should be sold when cash is required in your account.

Your Priority Sell Method instruction must be in numerical order. For example, 1, 2, 3 . . . (where 1 is the first asset to be sold).

Important information

- > Ensure you have completed each relevant section of this form. We cannot process your investment profile selection or Priority Sell Method instruction if this form is not completed correctly.
- > Any corrections made on this form need to be initialled by the account holder(s). Corrections made with liquid paper or white-out will not be accepted.
- > Your entire portfolio (excluding assets with sales restrictions, such as existing fixed term products and switches made in section 5) will be rebalanced when this form is lodged. For more information, see the 'Changing your investments' section of the PDS.
- > Sales of particular investments may be subject to delays and/or restrictions, including the suspension of redemptions by the investment manager for a period of time.
- > Future deposits and earnings will also be invested according to this form unless you have instructed us not to invest excess cash, in which case no cash will be invested until you give us new investment instructions.
- > Super, Transition to Retirement Pension accounts and Investment accounts – sales of particular investments may result in a capital gains tax liability being realised. For more information, see the 'Tax features' section of the PDS.

In addition, if you have specified the Priority Sell Method (Managed Profiles only) the following also apply.



### 3. Investment profile selection (% allocation must total 100%)

Product Code	APIR Code	Allocation %	Priority Sell Method	Managed Investment	Standard Risk Measure <sup>1</sup>
<b>Cash</b>					
IPHAINV				Investment Profile Holding Account (Investment accounts only)	N/A
IPHASP				Investment Profile Holding Account (Super/Pension accounts only)	N/A
PENMCF	WFS0245AU			Pendal Managed Cash Fund	1
SBCKCF	SBC0811AU			UBS Cash Fund	1
<b>Australian Fixed Interest</b>					
AFIMBF	ADV0084AU			Advance Australian Fixed Int M-Blend WS	6
SFIF	SCH0028AU			Schroder Fixed Income Fund WS Class	5
SBCKFIF	SBC0813AU			UBS Australian Bond Fund	6
VAFIIF	VAN0001AU			Vanguard Aust Fixed Interest Index Fund	6
<b>Australian Shares</b>					
ASMBF	ADV0045AU			Advance Aust Shares Multi-Blend Fund	6
PANG	PAM0001AU			Alphinity Australian Share Fund	6
ABLIAE	AAP0103AU			Ausbil Australian Active Equity Fund	6
ABLIALVE	AAP0104AU			Ausbil Australian Emerging Leaders Fund	7
BMIFAS	BAR0814AU			Blackrock Advantage Australian Equity Fd	6
EGGSCF	EGG0001AU			Eley Griffiths Group Small Co Fund	7
FAEF	FID0008AU			Fidelity Australian Equities Fund	6
FSWGS	FSF0043AU			First Sentier Wholesale Geared Share Fund	6
AWIF	ADV0046AU			Maple-Brown Abbott Australian Share Fund	6
RAEWT	RFA0818AU			Pendal Australian Share Fund	6
IWPVST	IOF0206AU			Perennial Value Shares Wholesale Trust	6
PWESRIF	PER0116AU			Perpetual Wholesale Ethical SRI Fund	6
PWISF	PER0046AU			Perpetual Wholesale Industrial Share Fd	6
SAEF	SCH0002AU			Schroder Australian Equity Fund	6
TASWP	TYN0028AU			Tyndall Australian Share Wholesale Fund	6
VASIF	VAN0002AU			Vanguard Australian Shares Index Fund	6
<b>Hedge Fund - Single Strategy - Opportunistic</b>					
AQRWD	PER0554AU			AQR Wholesale DELTA Fund - Class 1F	6
<b>International Fixed Interest</b>					
IFIMBF	ADV0067AU			Advance Int'l Fixed Int M-Blend Fund	6
PWMIBF	PWA0825AU			BlackRock WS Int'l Bond Fund	6
CFSWGCDF	FSF0084AU			First Sentier Wholesale Gbl Credit Income	5
MMDFIF	MAQ0274AU			Macquarie Dynamic Bond Fund	6
MMDTF	MAQ0277AU			Macquarie Income Opportunities Fund	5
EQTPIMCO	ETL0018AU			PIMCO Global Bond Fund - Wholesale Class	6
SHSF	SCH0103AU			Schroder Absolute Return Income Fund	5
VIFIIFH	VAN0103AU			Vanguard Int'l Fixed Int Index - Hedged	6
<b>International Shares</b>					
AAOF	EQI0028AU			abrdn Asian Opportunities Fund	7
AEMF	ETL0032AU			abrdn Emerging Opportunities Fund	7
CFCWICWAGE	FSF0710AU			Acadian Wholesale Global Equity Fund	7
AWMWWS	ADV0053AU			Advance Int'l Shares Multi-Blend Fund	7
AXAWGEVF	NML0348AU			AMP Capital WS Global Equity - Value	7
BHISF	BGL0109AU			Blackrock Advantage Hedged International	7
MIIS	MAQ0432AU			Macquarie Int Infrastructure Securities	7
MAGGF	MGE0001AU			Magellan Global Fund	7

1. For information on the Standard Risk Measure, refer to the 'Your investment options' section of the PDS.

Product Code	APIR Code	Allocation %	Priority Sell Method	Managed Investment	Standard Risk Measure <sup>1</sup>
BTSTIS	BTA0056AU			Pendal Concentrated Global Share Fd No 3	7
PLTAF	PLA0004AU			Platinum Asia Fund - Class C	7
PLTIF	PLA0002AU			Platinum International Fund - Class C	7
SCHGEMF	SCH0034AU			Schroder Global Emerging Markets Fund	7
SGAVHW	SCH0032AU			Schroder Global Value Fund (Hedged)	7
TEMGEF	FRT0004AU			Templeton Global Equity Fund	7
VISIFH	VAN0105AU			Vanguard Int'l Shares Index Fund-Hedged	7
MWSGEFH	MAQ0557AU			Walter Scott Global Equity Fd (Hedged)	7
MWSGEF	MAQ0410AU			Walter Scott Global Equity Fund	7
ZIENTF	ZUR0061AU			Zurich Global Thematic Share Fund	7

#### Listed Property

PSMBF	ADV0095AU			Advance Property Securities M-Blend	7
DEGBPS	MGL0010AU			Ironbark Global (Ex Aus) Property Sec	7
PALPS	PAL0002AU			Ironbark Paladin Property Securities Fd	7
BPSIF	BGL0108AU			iShares Australian Listed Property Index	7
AMPGPA	AMP0974AU			Macquarie Global Listed Real Estate Fd A	7
UBSPSF	SBC0816AU			UBS CBRE Property Securities Fund	7
VAPSIF	VAN0004AU			Vanguard Aust Property Securities Index	7

#### Multi-Sector 40

AWIEF	ADV0049AU			Advance Defensive Multi-Blend Fund	5
JBWIPW	JBW0016AU			Yarra Income Plus Fund	4

#### Multi-Sector 60

MMBF	ADV0091AU			Advance Moderate Multi-Blend Fund WS	6
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#### Multi-Sector 80

AWIGF	ADV0050AU			Advance Balanced Multi-Blend Fund	6
GMBF	ADV0085AU			Advance Growth Multi-Blend Fund WS	6
HGMBF	ADV0087AU			Advance High Growth Multi-Blend Fund WS	6

1. For information on the Standard Risk Measure, refer to the 'Your investment options' section of the PDS.

**Note:** The information in this list is current as at 1 December 2023 (date of preparation), but is subject to change without prior notice. For a current list of assets available for investment please contact your financial adviser or call our customer relations team on 1800 988 185.

## 4. Fixed Term Deposits (FTD) – instructions to invest in these products using AdviserNET

FTD Product	Term (months)	Interest frequency
St.George Fixed Term Deposit	3	At Maturity
St.George Fixed Term Deposit	6	At Maturity
St.George Fixed Term Deposit	12	Monthly

**Note:** To invest in the FTD product(s) please refer to your financial adviser or contact our customer relations team on 1800 998 185.

## 5. Switching managed investments

**You cannot complete this section if you have already completed section 3, 'Investment profile selection' on this form.**

In this section, you can switch your **total holding** in any one managed investment into another one managed investment (existing or new).

You can also switch a partial holding in any one managed investment into another one managed investment (existing only) or the cash balance (excess cash may invest across the profile).

Please note, when switching all or part of your holding in a managed investment, you may incur a buy/sell differential. See the 'Buy/Sell differential' section of the PDS for further information.

- > Where there are multiple partial or full switches, they will be processed in the order listed on this form.
- > Where a full switch and a partial switch are requested, partial switches will be processed before full switches.
- > Where a partial switch or switch to the cash balance is requested, there is a minimum of \$1,000 per switch.
- > Where a full or partial switch from a closed managed investment is requested, it can only be switched to an existing managed investment already in your investment profile.

> If your 'Switch from' managed investment has been assigned a Priority Sell Method this priority will not be transferred to the 'Switch to' managed investment.

☐ Full switch

Switch from			Switch to	
Product code	Managed investment		Product code	Managed investment
		➡		
		➡		
		➡		

**Note:**  
> When a full switch is made, the profile percentage of the 'Switch from' managed investment will be allocated to the 'Switch to' managed investment.  
> A full switch instruction will not affect holdings in the remainder of your investment profile (that is, your investment profile will not be rebalanced).

☐ Partial switch

Switch from			Switch to		
Product code	Managed investment		Product code	Managed investment	Amount
		➡			\$
		➡			\$
		➡			\$

**Note:**  
> A partial switch instruction will not affect holdings in the remainder of your investment profile (that is, your investment profile will not be rebalanced).  
> Partial switches can only be made to managed investments in your current profile (excluding closed investments).  
> If you wish to switch one managed investment into multiple managed investments you will need to list each request separately on this form.  
> Where the dollar amount is equal to or greater than 98% of the asset value then the online 'Switch from' asset will be sold. This may result in more or less of the holdings being switched than requested. Where the 95% rule is applied the profile percentage of the 'Switch from' asset will remain in your current profile (not applicable to closed assets which have been removed from your profile).

☐ Switch to cash balance

Switch from			Switch to	
Product code	Managed investment		Amount	
		➡	\$	(minimum – \$1,000)
		➡	\$	(minimum – \$1,000)
		➡	\$	(minimum – \$1,000)

**Note:**  
> A switch to the cash balance will not change the profile percentage in your account.  
> A switch to the cash balance may cause excess cash to be reinvested across your existing profile, including the 'Switch from' managed investment, unless you have instructed us not to invest excess cash, auto-rebalancing and profile modelling instructions will result in your cash balance being returned to the required amount. An instruction not to invest excess cash can be submitted to us by your financial advisers through AdviserNET.

6. Adviser's details (if applicable) - Mandatory

Adviser's company

Adviser's name

Asgard Adviser code

Adviser's phone

Adviser's email

## 7. Signature - Mandatory

I/We direct BTFM/WFSL and Asgard to action my/our instructions as set out in this form.

If section 3 has been completed, I/we understand that this Investment Selection will rebalance my/our account to the percentages listed in that section.

I/We have received a copy of the current\* PDS for my/our Asgard Elements Account and a copy of the current underlying product disclosure statement\* for each managed investment in my/our profile.

I/We agree to receive any communications (including any confirmation of any transaction or dealing, notice of material changes and significant events and other information I/we may request), and documents (including PDS and other disclosure documents for underlying managed investments and periodic reports) which the Responsible Entity is required or permitted to give, or have agreed to give, to me/us relating to my/our account via Investor *Online*, or any other electronic means chosen by the Responsible Entity (and for these purposes, I/we agree that I/we will be taken to have received the relevant information whether or not I/we access the information).

I/We confirm that I/we have read and agree to the information stated in the Important information section of this form.

\* Provided by my/our financial adviser or the customer relations team.

### Individual or joint applicants sign here

If the account is in joint names, both applications must sign.

Signature

Date

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Signature

Date

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### Companies or Trustee Companies sign here

Director/Sole Director and Sole Secretary (delete as applicable)

Secretary/Director (delete as applicable)

Date

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**TRUSTEE**

BT Funds Management Limited  
ABN 63 002 916 458  
AFSL 233724  
Level 20, 275 Kent Street  
Sydney, NSW 2000

**RESPONSIBLE ENTITY**

Westpac Financial Services Limited  
ABN 20 000 241 127  
AFSL 233716  
Level 18 Kent St  
Sydney NSW 2000

**ADMINISTRATOR & CUSTODIAN**

Asgard Capital Management Ltd  
ABN 92 009 279 592  
AFSL 240695

**CONTACT CENTRE**

1800 998 185

**CORRESPONDENCE**

Asgard  
PO Box 7490  
Perth WA 6850

## For more information

[asgard.com.au](http://asgard.com.au)

1800 998 185

PO Box 7490 Cloisters Square WA 6850

Speak to your financial adviser today

# Asgard